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PATENT, TRADEMARK, COPYRIGHT AND UNFAIR COMPETITION LAW AND RELATED LITIGATION

> EDMUND P. WOOD 1923-1968 TRUMAN A. HERRON 1935-1976 EDWARD B. EVANS 1936-1971

OF COUNSEL THOMAS W. FLYNN

TRADEMARK AND INT'L PATENT ADMINISTRATION KATHRYN P. EVANS

FACSIMILE COVER SHEET

TO:

U.S. Patent & Trademark Office

Attn: Non-Fee Amendment Examiner: Amanda R. Flynn

Art Unit: 3743

DATE:

January 15, 2004

FROM:

Kurt L. Grossman, Esq.

OFFICIA

Thank yo

RE:

Serial No. 09/753,355 Filed: December 29, 2000

Applicant: William F. Pooley, et al. Title: A Method And An Apparatus For Forming A One-Piece

Introducer Our Ref.: MDXVA-69US-114

FAX NO.:

1-703-872-9306

TOTAL PAGES: 17 (including cover sheet)

OFFICIAL MESSAGE/COMMENTS:

Attached please find a Transmittal and Amendment in the above-captioned matter. Entry of the Amendment is requested. No fee is believed due herefor, but if one is due, per the Amendment Transmittal, the Office is authorized to charge our Deposit Account No. 23-3000. THIS FACSIMILE TRANSMISSION REPLACES THE TRANSMISSION PREVIOUSLY SENT, AS NOT ALL PAGES WERE RECEIVED, PER AUTO-REPLY.

man, Esq., Reg. No. 29,799

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Application Serial No. 09/753,355 Amendment dated January 15, 2004 Reply to Office Action dated October 15, 2003

PATENT

I hereby certify that this correspondence is being transmitted via facsimile to the U.S. Patent and Trademark Office at facsimile number (703) 872-9306, on January 15, 2004.

Kurt L. Grössman, Reg. No. 29,799

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William F. Pooley, et al.

Serial No.:

09/753,355

Filed:

December 29, 2000

Group Art Unit:

3743

Examiner:

Amanda R. Flynn

For:

A METHOD AND AN APPARATUS FOR FORMING

A ONE-PIECE INTRODUCER

Atty Docket:

56301P551 (MDXVA-69US)

Cincinnati, Ohio 45202

Date: January 15, 2004

MAIL STOP NON- FEE AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE

1.	mitted herewith is an Amendment in response to the Final Official Action 1 October 15, 2003.	
2.		Small Entity status of this application under 37 C.F.R. 1.9 and 1.27 has been previously established.
		Enclosed is a verified statement to establish Small Entity status.
	<u>X</u>	Other than a Small Entity.

3. The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		LARGE ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Extra	Present Rate	Fee	Present Rate	Fee
TOTAL	14	MINUS	30	0	x \$9	\$0	x \$18	\$ 0
INDEP.	3	MINUS	3	0	x \$43	\$0	x \$86	\$ 0
FIRST PRE	SENTATIO	N OF MULTI	PLE DEP. (+\$145	\$0	+\$290	\$0	
		TOTALS		TOTAL FEE	\$0	TOTAL FEE	\$0	

- ☆ If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- 압압 If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- 会会 If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid for" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- X No additional fee for claims is required.
- 4. Attached is a check in the sum of \$0.00.
 - Please charge my Deposit Account No. 23-3000 in the amount of \$_____.

 A duplicate copy of this sheet is attached.
- 5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

(a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:



		Fee tor					
	Extension	other than	Fee for				
	(months)	small entity	small entity				
	one month	\$ 110.00	\$ 55.00				
	two months	\$ 410.00	\$205.00				
	three months	s \$ 930.00	\$465.00				
	four months	\$1,450.00	\$725.00				
	five months	\$1,970.00	\$985.00				
	Extension fee due w	ue with this request \$0.00.					
	If an additional extended petition therefor.	tension of time is required, please consider this a					
(Check and complete the next item, if applicable)							
An extension for months has already been secured and the paid thereof of \$ is deducted from the total fee due for the to months of extension now requested. Extension fee due with this request \$							
		OR					
(b) <u>X</u>	conditional petition	is being made to pro	ime is required. However, this ovide for the possibility that the need for a petition for				
X	If any additional fee for claims or extension of time is required, charge Account No. 23-3000. A duplicate of this transmittal is attached.						
WOOD, HERRON 2700 Carew Tower 441 Vine Street Cincinnati, Ohio 45	r	(Signature of Attor	ney)				
(513) 241-2324		Kurt L. Grossman Reg. No. 29,799					

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Application Serial No. 09/753,355 Amendment dated January 15, 2004 Reply to Office Action dated October 15, 2003

CERTIFICATE OF FACSIMILE TRANSMISSION

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Kurt L. Grossman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No:

09/753,355

Filed:

December 29, 2000

Applicant:

William F. Polley, et al. A METHOD AND AN APPARATUS

Title:

FOR FORMING A ONE-PIECE INTRODUCER

Art Unit:

3743

Examiner:

Amanda R. Flynn

Attorney Docket:

56301P551 (MDXVA-69US)

Confirmation No.:

9724

Cincinnati, Ohio 45202

January 15, 2004

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION

In response to the Office Action dated October 15, 2003, in the above-identified application, Applicants respond as follows:

Amendments to the Claims are reflected in the Listing of Claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.